

# 15 request for refund

4/5/04

(tu)

Atty. Docket No. 13935-NN016

PATENT APPLICATIONIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	
Mohammad J. ARSHAD, ET AL.	)	Examiner: Vernal Brown
	:	
Appln. No. 09/752,009	)	Art Unit: 2635
	:	
Filing Date: December 28, 2000	)	Confirm. No.: 9068
	:	
Title: TRANSPONDER COMMUN-	)	April 5, 2004
ICATION AND CONTROL	:	
SYSTEM FOR A VEHICLE	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

REQUEST FOR REFUND

Dear Sir:

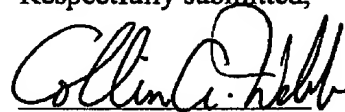
As shown by the attached Patent Application Fee Determination Record (Form PTO/SB/06), the September 4, 2003, Amendment resulted in 23 claims, of which nine (9) were independent—an increase of five (5) independent claims. However, Applicants' representative mistakenly paid \$504.00 by check, and that payment was the fee for an additional *six* (6) independent claims.

Therefore, Applicants request the difference of \$84.00 (the fee in fiscal-year 2003 for an additional independent claim) to be credited to Deposit Account No. 14-0780.

Applicants' undersigned attorney may be reached with any questions in our New Holland, Pennsylvania office by telephone at (717) 355-4954.

CNH America LLC  
Intellectual Property Law Dept.  
Mail Stop 641  
500 Diller Avenue  
P.O. Box 1895  
New Holland, Pennsylvania 17557-0903

Respectfully submitted,



Attorney for Applicants  
Collin A. Webb  
Reg. No. 44,396



PTO/SB/21 (08-03)

Approved for use through 07/31/2008. OMB 0851-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

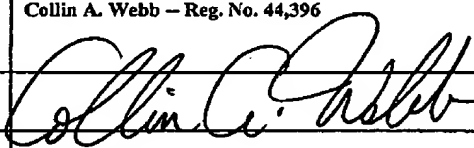
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/752,009	
	Filing Date	December 28, 2000	
	First Named Inventor	Mohammad J. Arshad	
	Art Unit	2635	
	Examiner Name	Vernal Brown	
Total Number of Pages in This Submission	18	Attorney Docket Number	13935-NN016

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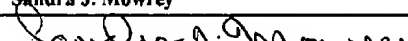
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	RCE; Request for Refund; and Request for Consideration of Previously Filed IDS
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Sent Via Facsimile to (703) 872-9306	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Time Sent: 1:07 P.M.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Collin A. Webb - Reg. No. 44,396
Signature	
Date	April 5, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name	Sandra J. Mowrey		
Signature		Date	April 5, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

\$880.00

## Complete if Known

Application Number 09/752,009  
 Filing Date December 28, 2000  
 First Named Inventor Mohammad J. ARSHAD, ET AL.  
 Examiner Name Vernal Brown  
 Art Unit 2635  
 Attorney Docket No. 13935-NN016

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

14-0780

Deposit Account Name

New Holland North America, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	
1002	2002	340	170	Design filing fee	
1003	2003	530	285	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	180	80	Provisional filing fee	
SUBTOTAL (1)					

### 2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20** = 0	X	0.00
0	-3** = 0	X	0.00
0			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9	Claims in excess of 20	
1201	2201	86	43	Independent claims in excess of 3	
1203	2203	290	145	Multiple dependent claim, if not paid	
1204	2204	86	43	** Reissue independent claims over original patent	
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	85	Surcharge - late filing fee or oath	
1052	2052	60	25	Surcharge - late provisional filing fee or cover sheet	
1053	2053	130	130	Non - English specification	
1812	2520	2,520		For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	110.00
1252	2252	420	210	Extension for reply within second month	
1253	2253	950	475	Extension for reply within third month	
1254	2254	1,480	740	Extension for reply within fourth month	
1255	2255	2,010	1,005	Extension for reply within fifth month	
1401	2401	330	165	Notice of Appeal	
1402	2402	330	165	Filing a brief in support of an appeal	
1403	2403	290	145	Request for oral hearing	
1451	2451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	65	Petition to revive - unavoidable	
1453	2453	1,330	665	Petition to revive - unintentional	
1501	2501	1,330	665	Utility issue fee (or reissue)	
1502	2502	480	240	Design issue fee	
1503	2503	640	320	Plant issue fee	
1460	2460	130	130	Petitions to the Commissioner	
1807	2807	50	50	Processing fee under 37 CFR § 1.17(q)	
1808	2808	180	180	Submission of information Disclosure Statement	
8021	28021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385	Request for Continued Examination (RCE)	770.00
1802	2802	900	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$880.00

## SUBMITTED BY

Name (Print/Type)	CHINA A. Webb	Registration No. (Attorney/Agent)	44,396	Telephone	717-355-4954
Signature		Date	April 5, 2004		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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7173553898

APR 05 2004

Atty. Docket No. 13935-NN016

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Mohammad J. ARSHAD, ET AL.	)	Examiner: Vernal Brown
	:	
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Filing Date: December 28, 2000	)	Confirm. No.: 9068
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Title: TRANSPONDER COMMUN-	)	April 5, 2004
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SYSTEM FOR A VEHICLE	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

REQUEST FOR CONSIDERATION OF PREVIOUSLY FILED INFORMATION  
DISCLOSURE STATEMENT

Dear Sir:

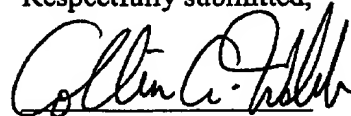
Applicants filed an Information Disclosure Statement on November 14, 2003, well before the mailing date of the December 5, 2003, Office Action. However, the Office Action does not indicate that the Information Disclosure Statement has been received and considered.

Therefore, Applicants request that the Examiner indicate his consideration of the Information Disclosure Statement by initialing the row containing the cite for each document on the Form PTO/SB/08a, which was attached to the Information Disclosure Statement, and signing and dating the Form.

Applicants' undersigned attorney may be reached in our New Holland,  
Pennsylvania office by telephone at (717) 355-4954.

CNH America LLC  
Intellectual Property Law Dept.  
Mail Stop 641  
500 Diller Avenue  
P.O. Box 1895  
New Holland, Pennsylvania 17557-0903

Respectfully submitted,



Attorney for Applicants  
Collin A. Webb  
Reg. No. 44,396